

THE COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith for filing is the Utility patent application of:

INVENTOR: YOSHU YOSHIBA, KAZUKO SHINOZAKI AND KAZUO SHINOZAKI

FOR: TRANSGENIC RICE PLANT AND ITS FAMILY WITH ENVIRONMENTAL STRESS RESISTANT BY PROLINE ACCUMULATION OF HIGH LEVEL AND ITS PRODUCTION

Enclosed are:

- ☒ 3 sheets of ☐ formal ☐ informal drawings.
- ☒ Transmittal of certified copy of Japanese patent application 2001-174553 to claim priority date of June 8, 2001.
- ☐ Applicant requests non-publication.
- ☒ An Information Disclosure Statement with PTO Form 1449.
- ☒ A Preliminary Amendment
- ☒ An Assignment, assigning interest to
- ☒ An Executed Declaration
- ☒ *Sequence Listing Statement*

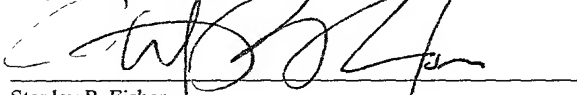
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The filing fee is calculated as shown below:

FOR	NUMBER FILED		NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	39	-20	19	x\$18 =	342.00
INDEPENDENT CLAIMS	6	-3	3	x\$4 =	252.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+\$280 =	0.00
				BASIC FEE	\$740.00
				TOTAL OF ABOVE	1,334.00
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY (note 37 C.F.R. §§ 1.9, 1.27, 1.28). IF APPLICABLE, VERIFIED STATEMENT MUST BE ATTACHED					
TOTAL					1,334.00

- ☐ Please charge my **Deposit Account Number** _____ in the amount of _____ to cover the filing and assignment recordation fees. A duplicate copy of this paper is enclosed.
- ☒ A check in the amount of **\$1,334.00** to cover the filing fee and **\$40.00** to cover the assignment fee are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including patent application filing fees and processing fees under 37 C.F.R. § 1.16 and 1.17, or credit any overpayment to **Deposit Account Number 08-1480**.

Respectfully submitted,



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December 27, 2001

J1036 U.S. PTO
10/026767
12/27/01

J1133 U.S. PTO
10/026767

J10025767 12/27/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of)

YOSHIBA *et al.*)

Application Number: To Be Assigned)

Filed: Concurrently Herewith)

For: TRANSGENIC RICE PLANT AND ITS FAMILY WITH)
ENVIRONMENTAL STRESS RESISTANT BY PROLINE)
ACCUMULATION OF HIGH LEVEL AND ITS)
PRODUCTION)

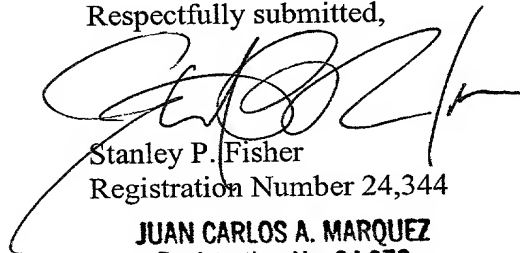
**Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231**

SEQUENCE LISTING STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.821(e) and (f), this Applicants hereby state that, to the best of Applicants' knowledge and belief, the disclosure of nucleotide and/or amino acid sequences on part of the text of the application and on a computer disk in a computer readable form which are being submitted with the above-identified patent application are identical.

Respectfully submitted,



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